



Receipt

PATENT

Case Docket No. LOMAU.108CPDV1

Date: October 16, 2001

Page 1

In re application of : Yong Hua Zhu, et al.  
App. No. : 09/929,700  
Filed : August 13, 2001  
For : TISSUE OPENING LOCATOR  
Examiner : Unknown  
Art Unit : 3731

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

October 16, 2001

(Date)

Glen L. Nuttall, Reg. No. 46,188

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

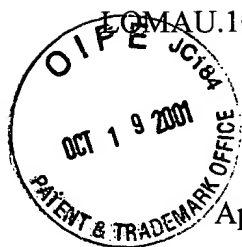
Sir:

Transmitted herewith is a Request for Corrected Filing Receipt in the above-identified application.

- (X) Copy of Official Filing Receipt with corrections marked in red ink.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) Return prepaid postcard.
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

RECEIVED  
NOV - 2 2001  
TC 3700 MAIL ROOM

Glen L. Nuttall  
Registration No. 46,188  
Attorney of Record



LCMAU.108CPDV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
NOV -2 2001  
3700 MAIL ROOM  
PATENT

Applicant : Yong Hua Zhu, et al.

Appl. No. : 09/929,700

Filed : August 13, 2001

For : TISSUE OPENING LOCATOR

Examiner : Unknown

) Group Art Unit 3731

) I hereby certify that this correspondence and all  
) marked attachments are being deposited with  
) the United States Postal Service as first-class  
) mail in an envelope addressed to: Assistant  
) Commissioner for Patents, Washington, D.C.  
) 20231, on

October 16, 2001

Glen L. Nuttall, Reg. No. 46,188

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant hereby requests that the Official Filing Receipt be corrected to reflect the correct Domestic Priority data as set forth in red on the attached copy. Presently, the Filing Receipt incorrectly lists the filing date of priority application serial no. 60/009,643 as 12/08/95. The correct filing date is 12/07/95.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/16/01

By: [Signature]

Glen L. Nuttall  
Registration No. 46,188  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660



LOMAU/108CPDV1

WBB/GLN

## UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/929,700	08/13/2001	3731	418	LOMAU.108CPDV1	20	27	2

CONFIRMATION NO. 8941

20995

KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660



## FILING RECEIPT



\*OC000000006543195\*

Date Mailed: 09/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yong Hua Zhu, Loma Linda, CA;  
Wolff M. Kirsch, Redlands, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/325,982 06/04/1999 PAT 6,287,322  
WHICH IS A CIP OF 09/092,282 06/05/1998  
WHICH IS A CIP OF 08/984,757 12/04/1997  
WHICH IS A CIP OF 08/943,369 10/03/1997 ABN  
WHICH IS A CIP OF 08/764,611 12/05/1996 PAT 6,004,341  
WHICH CLAIMS BENEFIT OF 60/009,643 ~~12/08/1995~~  
(\*) Data inconsistent with PTO records. 12/07/1995

RECEIVED  
NOV - 2 2001  
T.C. 3700 MAIL ROOM

## Foreign Applications

If Required, Foreign Filing License Granted 09/11/2001

Projected Publication Date: 12/20/2001

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

NO DATES DOCKETED  
ATTORNEY RESPONSIBLE  
INITIAL \_\_\_\_\_

Tissue opening locator and everter and method

**COPY**

**Preliminary Class**  
606

---

**Data entry by : TRAN, TUAN**

**Team : OIPE**

**Date: 09/13/2001**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

COPY

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231